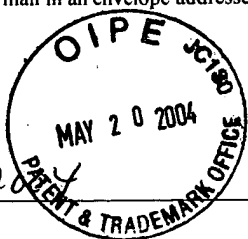


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May 18, 2004



TOWNSEND and TOWNSEND and CREW LLP

By:

Mark T. Davis

Mark T. Davis

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
Attorney Docket No.: 02307Z-132800US
Client Reference No.: 2003-145-1

In re application of:

Julin Wan, Amiya K. Mukherjee,
and Matthew J. Gasch

Application No.: 10/773,758

Filed: February 6, 2004

For: NANOCOMPOSITES OF SILICON
NITRIDE, SILICON CARBIDE, AND
BORON NITRIDE

Examiner: Not Yet Assigned

Art Unit: 1755

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the non-U.S. references are enclosed. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, applicant has not submitted copies of the U.S. references.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Julin Wan, Amiya K. Mukherjee, and Matthew J.
Gasch
Application No.: 10/773,758
Page 2

PATENT

Applicant believes that no fee is required for submission of this statement.

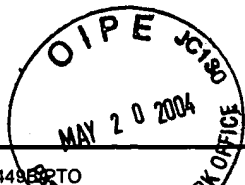
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



M. Henry Heines
Reg. No. 28,219

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Tel: 415-576-0200
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|---|---|----|--------------------------|------------------------|-----------------|
| Substitute for form 1449 PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/773,758 | |
| | | | Filing Date | February 6, 2004 | |
| | | | First Named Inventor | Wan, Julin | |
| | | | Art Unit | 1755 | |
| | | | Examiner Name | Not Yet Assigned | |
| Sheet | 3 | of | | Attorney Docket Number | 02307Z-132800US |

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|------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | T ² |
| | AG | BUTCHEREIT, Elke et al.; "Precursor-Derived Si-B-C-N Ceramics: Oxidation Kinetics"; <u>J. Am. Ceram. Soc.</u> , 2001, Vol. 84, No. 10, pp. 2184-2188. | | | | | | |
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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

4

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| | |
|------------------------|------------------|
| Application Number | 10/773,758 |
| Filing Date | February 6, 2004 |
| First Named Inventor | Wan, Julin |
| Art Unit | 1755 |
| Examiner Name | Not Yet Assigned |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|---------------------------------|--------------------------|---|---------------------|-----------------------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
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| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | T ² |
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